

Incentive for Opportunistic Network

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Abstract Ad hoc network and peer-to-peer system typically require many users to participate, to leverage the full benefits of the system. In this paper we consider incentive for opportunistic network. As an example, consider an electronic coupon system, where provider send out coupon, which are passed from user to user. User receive bonus point for each redemption to encourage participation. We define a general model for such bonus point-based coupon scheme and derive an optimal strategy to allow a user to determine how many bonus point he should ask for when passing the coupon. We also show that our optimal strategy is very robust against all other user strategies, and that there is a strong incentive for user to follow our optimal strategy.

I. INTRODUCTION

Many systems, such as ad hoc network, peer-to-peer system, and opportunistic network require many users to participate to leverage the full benefits of the system. However, in many cases, users may be required to provide *their own resource* (e.g., memory, bandwidth, battery power) for others to use, without getting any direct benefit from that. An abstract if you help me now, I will help you later - style motivation, may not be sufficient to encourage participation. Such free-riding [1], [2] has been observed in peer-to-peer network, and work on mobile ad hoc network has proposed several *incentive schemes* [3], [4] to encourage participation.

In this paper, we examine an electronic coupon system as an example of incentive for opportunistic network. In this system, a provider sends out a coupon which is passed from user to user, until a user finally redeems the coupon (e.g., purchase the advertised product). To encourage user participation, the provider gives out bonus points (e.g., frequent flyer miles) to all users who participated in passing the coupon to the redeemer. Although the proposed bonus point scheme is very general, we consider it from the point of view of opportunistic network, where information propagates with little or no user interaction. Therefore, incentive schemes should also be very general since they cannot rely on user interaction, but must instead rely on general properties of the application in question.

The contributions of this paper are two-fold. First, we define a general model for such bonus point-based scheme and derive an optimal strategy which allows each user to determine how many bonus points he should ask for when passing the coupon. Second, we show that our optimal strategy is extremely robust against all other user strategies, and that there is a strong incentive for user to follow our optimal strategy.

This paper is organized as follows. In Section II we give an example of an electronic coupon system and develop a formal

model for such systems. In Section III we define an optimal strategy, both in linear passing chain and passing tree. Section IV investigates the effect of user behavior on the optimal strategy. In Section V we discuss the implication of our results on electronic coupon schemes. Section VI discusses related work. Finally, Section VII concludes the paper.

II. ELECTRONIC COUPON EXAMPLE

The system we consider is adPASS [5], which spreads electronic coupons among interested users in mobile environment. A provider sends out coupons which advertise some product via an access point installed in the hop. Users carry mobile devices which store the coupon according to the user's preference. Later, when a user A who has a coupon meets another user B with similar interest, A can pass the coupon on to B. As a reward for this, the provider has allocated some number of bonus points to the coupon and A is allowed to claim some of the points for herself. B can also pass the coupon on, or go to the hop to redeem the coupon. When some user goes to redeem the coupon, all users who were involved in the chain this coupon took from the provider to the redeeming user will get the bonus points they took when they passed the coupon on. If nobody redeems the coupon, no bonus points are given out.

A. Bonus Point Model

If a user redeems the coupon, e.g., purchases the advertised product, all the users who participated in passing the coupon from the provider to the actual buyer get the points they have taken. We call this sequence of users the *passing chain*, or chain. A chain always starts from the provider and ends in a user who redeems the coupon. For a user A, we call all the users between the provider and A a *upstream user* and all users after A in the chain are *downstream users*.

The rules for passing the coupon are as follows:

- 1) The provider sets the initial number of points.
- 2) Each user must take at least 1 point when he passes the coupon onward.
- 3) If only 1 point remains, the coupon cannot be passed.
- 4) If several downstream users redeem the same coupon, upstream users get the points for *each* redemption.
- 5) If a user redeems a coupon, he can get points for the same coupon if he had passed it before redeeming it.

B. Formal Model

Let B be the number of point in a coupon that user A receive. User A might get the coupon from the provider or from another user. For A , there is no difference between the case and only the remaining point B matter.

Assume that user A decide to take b point, with $1 \leq b < B$. We also assume that the probability that any user redeem the coupon is p . For simplicity, we assume that this probability is the same for all user and that the user are independent from each other. We assume that the redemption probability is independent of the number of point remaining in the coupon (i.e., user redeem the coupon because the coupon is of interest and not just imply to get bonus point).

Since each user has to take at least 1 point from the coupon, the length of the passing chain is finite. Denote the length of the downstream chain from user A as N . See Section III on how the length of the chain can be calculated.

Then, the expected number of point A can get from all the redemption in her downstream chain is:

$$\begin{aligned} E[\text{point if } b \text{ taken}] &= \sum_{i=1}^N bi \binom{N}{i} p^i (1-p)^{N-i} \\ &= bNp \end{aligned} \quad (1)$$

Equation (1) allow us to calculate the expected number of bonus point gained by a single user in a straight-forward manner, given the length of the downstream chain and the amount of point taken. Note that the redemption probability p has no effect on b and N which are determined by the strategy of the user. Hence, our assumption that p is the same for all user has no effect on the user behavior.

III. STRATEGIES

We now derive an optimal strategy and discuss how it can be applied in practice. We assume that the goal of a user is to maximize the number of bonus point he earn. As can be seen from equation (1), the redemption probability does not affect the strategy of the user, which is the amount of point taken by the user that determine the maximum number of people in the downstream chain.

We first derive the optimal strategy for a linear passing chain, that is, each user passes the coupon to only one other user. In Section III-C, we will derive the optimal strategy for the case where user can pass the coupon to multiple user.

A. Optimal Strategy for Linear Passing Chain

Consider the case where there is only 1 point left in the coupon. In this case, the coupon cannot be passed on and the chain end. Similarly, when there are 2 point left, the only possible solution is to take 1 point and pass 1 point on. Since users try to maximize their own benefit, we assume that the user will try to pass the coupon onward.

With 3 point remaining, a user A can either take 1 or 2 point. If user A take 2 point, then only 1 point remain and the chain after the current user has length 1. If user A

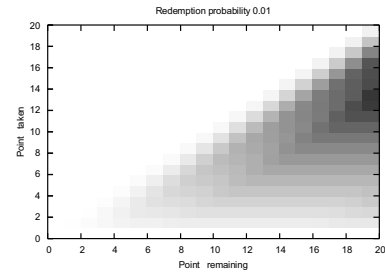


Fig. 1. Optimal strategy for 1-20 point

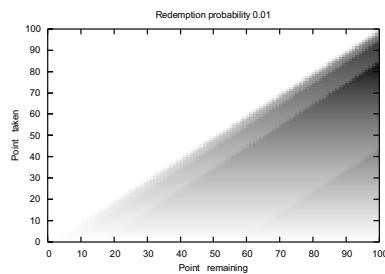


Fig. 2. Optimal strategy for 1-100 point

take 1 point, then two point remain, and the above strategy for 2 point result in a chain of length 2. In both cases, the expected number of gained point given by equation (1) is $2p$. Since the two strategies have the same expected number of point gained, they are equal for that user.

With 4 point remaining, a user A has three possibilities: take 3, 2, or 1 point. We can calculate that the expected number of gained points are $3p$, $4p$ and $3p$, respectively.¹ The strategy Take 2 dominates, and the optimal strategy for 4 remaining point is to take 2 of them.

In a similar way, we can continue with larger number of point remaining, and we can compute the optimal strategy for any given value of B . Figure 1 and 2 show the optimal strategy for 1-20 and 1-100 point remaining, respectively.

In these figures, the x-axis shows how many points are remaining in the coupon when the user receive it, and the y-axis show the possible amount of point the user can take for herself. The color at a point (x, y) indicate the expected number of bonus point gained by the user, assuming she took y point from the remaining x point. Darker color indicate higher amount of gained point.²

As we can see, the optimal choice of point is typically to take about 60-80% of the remaining point. This is especially

¹The case where A take only 1 point divide into two possibilities, depending on whether the next user take 1 or 2 point. The two possibilities give the expected number of gained point as $3p$ and $2p$.

²The figures show redemption probability of 0.01. As stated in Section II-B, this only affect the numerical value, but not the optimal strategy.

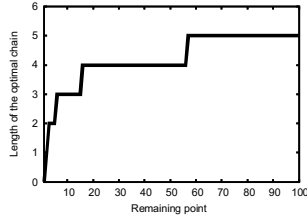


Fig. 3. Length of the optimal linear chain

clearly visible on the right side of Figure 2, where the remaining number of point is large. Figure 1 shows a close-up of the left side of Figure 2 and we can see that also there, the optimal number of points to take is about 70% of the remaining point.

The optimal strategies are calculated through exhaustive search, which makes real-time calculation on small portable devices difficult. However, since the optimal number of points to take is independent of the user's other action, the point can be pre-calculated and stored in a table. Thus, the actual calculation on the user's device is a simple table lookup.

Figure 3 shows the length of the optimal chain as a function of the remaining point. The chains are typically quite short with only a few users participating in the distribution.

Figure 3 also allows us to determine the optimal strategy for the provider when deciding how many points to insert in the coupon initially. For example, points between 16 and 56 all result in a chain of 4 people, hence a provider would choose 16 points, since this minimizes the amount of points the provider needs to give out in this interval. The result in Figure 3 clearly shows that the provider would choose the amount of initial points from a small set of possibilities.

B. Equal Optimal Strategies

As the example with 3 remaining points in Section III-A shows, the optimal strategy is not always unique. Such equal optimal strategies are equal to the user who is faced with the choice, but, for the upstream user, the choice could make a difference. We have evaluated the frequency of such equal, optimal strategies and discovered that they do not occur very frequently. For the range of 1-100 points remaining, we observed that such equal optimal strategies happen in only a few cases (maximum 3, depending on the decision at each equal point). Furthermore, the effect on the expected number of gained points is relatively small, making the dilemma of equal optimal strategies mostly negligible.

C. Optimal Strategy for a Passing Tree

The above optimal strategy applies when each user can pass the coupon to only one other user. In reality, a single user could pass the coupon to several other users, who pass it on to several others, etc. This results in a *passing tree*. In this section, we will investigate how the optimal strategy needs to be modified in a passing tree.

When a user A passes a coupon onward, the remaining amount of points B' , determines the maximum depth of the

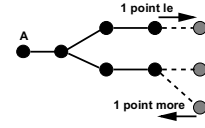


Fig. 4. Modifying strategy in a passing tree

passing tree, since each user must take at least one point. However, user A cannot know how many branches there are in the tree. If the depth of the tree is at most B' and each level has k branches, the complete passing tree has at most $N = \sum_{i=0}^{B'-1} k^i$ users, which are all possible redeemers. However, since k is unknown,³ the value of N needed for equation (1) cannot be determined by user A. Hence, it is not possible to define an optimal strategy at run-time. However, after the coupon has been passed as far as possible, we can compute the strategy that *would have been* optimal.

Given that the user knows an optimal strategy for a linear chain but not for a tree, the natural question to ask is: Should the user deviate from the known optimal strategy? We will now derive conditions for determining when to stay with the optimal strategy and when to deviate from it. Note that the condition can only be applied *after* the coupon has been redeemed, but we can use them to determine whether such deviation would make sense in general.

Assume that user A receives a coupon with B points and that the optimal strategy from Section III-A takes b^* points and results in a chain of N^* users. Hence, the optimal expected amount of gained points is b^*N^*p . Since p does not affect the optimal strategy, we will discard it in order to simplify the equation in the following.

The situation is shown in Figure 4. Assume that the current tree contains all the nodes in the figure. If user A were to take 1 point more, the greyed user would no longer be part of the tree, since there would not be enough points left in the coupon for them to receive it. Likewise, if the current tree was just the black user and A took 1 point less, the tree *might* grow to include the grey user. However, in this case, there are no guarantees that the tree would actually grow, since any of the black users might decide to take 1 point more than assumed in the tree in Figure 4.

Let's consider the first case of user A taking more points and making the tree shallower. Assume that user A takes $b = b^* + b'$ points and that this means that n' users are removed from the tree. Then, it is better to *stay with the optimal linear strategy* if

$$b^*N^* \geq (b^* + b')(N^* - n') \quad (2)$$

$$n' \geq \frac{N^*b'}{b^* + b'}$$

Hence, if the number of lost users is greater than $\frac{N^*b'}{b^* + b'}$, it is better *not* to deviate from the optimal strategy.

Let's now consider the second case of user A taking less points and making the tree deeper. Assume that user A takes

³Also B' is in principle unknown, since user A cannot be sure that all the subsequent users follow the optimal strategy.

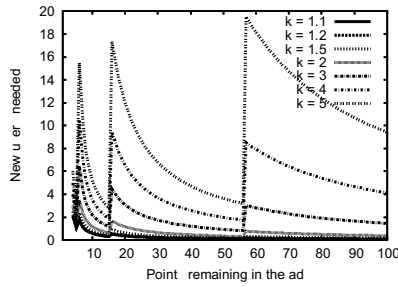


Fig. 5. New user required to deviate from the optimal strategy

$b = b^* - b'$ point and that this means that n' users are added to the tree. Then, it is better to stay with the optimal linear strategy if

$$\begin{aligned} b^* N^* &\geq (b^* - b')(N^* + n') \\ n' &\leq \frac{N^* b'}{b^* - b'} \end{aligned} \quad (3)$$

In other words, unless giving up b' point brings at least n' new users, it is better to stay with the optimal strategy.

Given that the optimal strategy is relatively greedy, i.e., it tends to take a large amount of point, we now concentrate on evaluating when taking less point (and gaining users) would be beneficial.

Assume that the user decides to take one point less than the optimal strategy indicates. Then, the tree needs to get at least $n' = \frac{N^*}{b^* - 1}$ new users in order to result in the same number of points gained by the user. In Figure 5 we plot the value of this, for several different branching factors k . We have assumed that the resulting passing tree are full k -ary trees for the value of k shown in the figure.⁴

As we can see from Figure 5, the number of new users needed varies greatly with the number of points in the coupon. The pike in Figure 5 coincides with the step in Figure 3, which has the following implication.

Since the steps in Figure 3 are the likely amount of points given out by the provider, the number of new users required to be reached by the coupon is most likely given by the value of the pike in Figure 5.

The new users needed (the pike) are typically reasonable. For example, a tree with depth 4 and branching factor 5 (the highest pike) would require about 20 new users. Given that there are already $5^4 = 625$ users in the tree, the chance that allowing the tree to extend by one level would bring in 20 users seems very high. However, if user A at the root of the tree decides to take one point less, but user B next in the tree decides to follow the optimal strategy, user B will take the point that was not taken by A. Hence, A will actually be worse off for deviating from the optimal strategy.

Furthermore, if there are only a few points left in the coupon, the required number of new users is quite high compared to what is feasible. For example, with low branching

⁴For non-integer values of k we assumed a tree with $\sum_{i=0}^{B'-1} k^i$ users.

factor ($k < 2$) and 6 points remaining, we would need over 20 new users. Because of the low branching factor, we could only assume to get about 1 or 2 new users, hence the deviation cannot be justified. Same applies to the other branching factors for small numbers of remaining points.

The above example highlights that although deviating from the optimal strategy is in some cases justifiable from a mathematical point of view, we also need to take into account user behavior. We will now turn to investigating the effect of user behavior and we will return to the issue of deviating from the optimal strategy in Section V.

IV. EFFECT OF USER BEHAVIOR

The optimal strategy allows a user to determine how many points to take in any situation. Since the optimal strategy is independent of the redemption probability p , the above calculation can be used to determine the amount of points to take to maximize the number of gained points.

However, the optimal strategy assumes that all users follow the optimal strategy. If downstream users tend to take less than the optimal amount, then the total amount of gained points for the upstream user will increase because the chain will be longer. Conversely, if the downstream users are greedy, the chain will be shorter and the upstream user will not get as many points as they expected. We now investigate the sensitivity of the optimal strategy to other possible strategies followed by other users.

We consider 6 different types of users, defined as follows:

- Rational (R): Follow the optimal strategy (take *opt* points).
- Greedy (G): Take more points than the optimal strategy says. A *pure greedy* (P-G) user always leaves only 1 point.
- Altruistic (A): Take less points than the optimal strategy says. A *pure altruistic* (P-A) user always takes only 1 point.
- Random (RND): Take a random amount of points, uniformly distributed between 1 and $B - 1$.

For the normal greedy and altruistic strategies, we assume that the users take a random amount of points, uniformly distributed in the appropriate interval $[opt, max - 1]$ for greedy and $[1, opt[$ for altruistic).

A. Population with Two Types of Users

We first compare each of the 6 user types (rational, (pure) greedy, (pure) altruistic, and random) pairwise with all the other types. We assume a population which consists entirely of the given type and we investigate what happens when a single user from another type comes into play.

The results are shown in Table I. We show the amount of gained bonus points by the user type on each row against a population indicated by the column. The values are averaged over 200 individual runs. In the simulation, we had one user of the type given on the row take a many points a her strategy dictates. Then she would pass the coupon on, and each user in the chain would use the column-strategy to decide how

User	Opponent' strategy					
	R	G	A	RND	P-G	P-A
R	4.20	2.18	5.23	4.30	1.68	13.44
G	3.23	1.99	3.88	4.04	1.77	7.73
A	2.37	1.11	3.15	2.35	0.81	18.09
RND	2.37	1.29	3.20	2.90	0.95	16.59
P-G	0.99	0.99	0.99	0.99	0.99	0.99
P-A	0.06	0.03	0.08	0.07	0.02	0.99

TABLE I
TWO TYPES OF USERS. REDEMPTION PROBABILITY $p = 0.01$ AND INITIAL BONUS POINTS $B = 100$.

many point to take. We assumed that the coupon get paid as long as there are point remaining.

The best strategy against the given population (column) is given by the bolded entries. The actual numerical values are specific to the parameters of the simulation; however, the ranking applies to all combinations of parameters.

As we can see, the rational user gets the highest amount of gained bonus point against all the four basic types. Hence, a user wanting to maximize her gained point should use the rational strategy, regardless of the other. The values in the table cover only the case where only 1 user is of the different type. We also ran the experiment for other mixtures of users and found out that the rational strategy always has superior performance when compared to the other basic strategies.

In general, we conclude that of the 4 main strategies rational is the best, followed by greedy, random, and altruistic. The pure greedy and pure altruistic strategies usually exhibit very poor performance.

The exception to the dominance of the rational strategy are against a population of pure greedy or pure altruistic. In a pure greedy population, a single greedy user will get more points than a single rational user. However, our experiment indicated that as soon as the fraction of rational (or greedy) users in the population of pure greedy users is above 10%, rational strategy again dominates. The explanation is as follows. In a population of pure greedy users, all chains are of length 2, since the first user in the chain will take all but 1 point. Therefore, the first user should take as much as he can, while guaranteeing the chain length of 2, i.e., take all but 2 points. The greedy strategy which takes more than the rational strategy is therefore better.

The good performance of the altruistic strategy against the pure altruistic strategy is explained as follows. In a population of pure altruistic users, all chains have their maximum length, since all users take only 1 point. For example, with 20 points remaining, a rational user will take 14 and the chain has length 6, which gives the expected bonus point of $6 \cdot 14p = 84p$. If the user takes only 10 points, then the chain has length 10. In this case, the expected amount of gained bonus points is $10 \cdot 10p = 100p$. The good performance of the altruistic strategy against the pure altruistic strategy prevails over a larger range of altruistic users.

B. Population with Multiple Type of User

We now investigate user populations with more than two types of users. We leave pure greedy and pure altruistic out

because of their poor performance.

We performed a similar experiment as in Section IV-A, where we vary the fraction of different types of users. In each of the experiments, we had all four types of users represented and we compared how a given user type does in that population. The results are shown in Table II. We show 5 different population mixtures. The column headings indicate what percentage of the population was of a given user type had in the experiment. We report the result for an even mixture and population where one user type was in majority. We also performed the experiment for other population mixtures and the results were similar to the one here.

As Table II shows, a rational user has the highest expected number of gained bonus points in all cases. This confirms the dominance of the rational strategy, which we already observed with the comparison of two user types in Section IV-A.

V. DISCUSSION

We now discuss deviating from the optimal strategy as well as the implications of our results on electronic coupon schemes.

A. Deviating from Optimal Strategy

As discussed in Section III-C, deviating from the optimal strategy can be justified from a mathematical point of view. However, this depends on the other user *not changing* their strategy. In light of the results from Section IV, it appears that the rational strategy dominates over all other strategies. This dominance of the rational strategy makes the justifications behind the deviation highly questionable.

Since the rational strategy dominates, we can assume that most (or all) of the users would follow that strategy. In a pairing tree, some users might assume a low branching factor, which favors staying with the optimal strategy. If a user decides to deviate by taking less points, subsequent users might decide to take those points, since that is the optimal strategy. Hence, the user who deviated would lose, since the length of the chain would not increase.

In fact, other users taking the points left by the deviating user is almost guaranteed. We see that from the left side of Figure 5, which shows that when only a small number of points are left, deviation does not pay off. Hence, the users near the end of the chain (or leaves of the tree) have a strong incentive to follow the optimal strategy. Points not taken by upstream users are likely claimed by them. Therefore, the length of the chain does not change as anticipated by the user who deviated.

Because of the above reason, we conclude that deviating from the optimal strategy is likely not to pay off, hence users are better off by using the optimal strategy.

B. Practical Consideration

As shown in Figure 3, the chain through which the coupon passes is not very long. The number of points initially put in the coupon naturally depends on what is the value of the point, which is specific to the actual bonus point scheme.

In general, we encourage chains to be positive. Because the coupon only travels a small number of hops, they are still

My strategy	Population mix (R/G/A/RND)				
	25/25/25/25	70/10/10/10	10/70/10/10	10/10/70/10	10/10/10/70
Rational	3.29	3.74	2.50	3.94	3.99
Greedy	2.78	3.00	2.14	3.35	3.21
Altruistic	2.05	2.12	1.28	2.65	2.17
Random	2.27	2.17	1.57	2.75	2.38

TABLE II

MULTIPLE TYPES OF USERS. REDEMPTION PROBABILITY $p = 0.01$ AND INITIAL BONUS POINTS $B = 100$.

likely to be of interest even to the last user. In a long chain, it could be that by the time the coupon gets to the last user, the coupon would no longer be relevant. Also, in a system based on one-hop communication (like adPASS), a short chain of people implies a small geographical coverage, and coupon would remain in the area where they are of interest.

Because the chains are short, the coverage is determined by the branching factor of the passing tree. Users high in the tree have an interest in passing the coupon to many other available, since that increases their chance of getting bonus points. Given that the first user in the chain usually takes a large number of points, this is a further incentive for the user to collect the coupons in the first place.

In summary, we believe that our results are encouraging and confirm the potential of electronic coupon schemes. Further work is required, in particular in terms of evaluating the effect of different user behavior in a large passing tree.

VI. RELATED WORK

Incentive schemes are important to (mobile) peer-to-peer network or mobile ad hoc network that are formed by unrelated and selfishly acting nodes, known as free-riders [1]. For example, Saroiu et al. [2] showed that only 7% of clients in the peer-to-peer Gnutella network share more than 1000 files. On the other hand, 25% of its users do not share any files and about 75% of the clients share 100 files or less.

Golle et al. [6] have addressed the incentive issue in centralized peer-to-peer network. They proposed and analyzed several micro-payment mechanisms to encourage file sharing and reduce the prevalent free-rider problem. Crowcroft et al. [3], [7] propose a pricing mechanism for mobile ad hoc network nodes as an incentive to forward network packages. Mannak et al. [8] conducted a small user study on user motivation to share resources in peer-to-peer network. They found out that 50% of the questioned users would share more, if some materialistic incentive, for example earning money, would be provided by the application.

Similar to adPASS, the idea to spread digital advertisement with embedded coupon among mobile users in a peer-to-peer manner and reward participating users is also described by Ratimor et al. [9]. In contrast to our proposed bonus point model, a user cannot affect his chance of being rewarded, for example, by choosing a different strategy, since the strategies are fixed for all users.

Garyfalo and Almeroth describe *Coupon* [4], [10], an incentive scheme that gives users credit for forwarding information to other users in an ad hoc network. By imitation, they show that it is possible to achieve a good information

spreading rate by employing less greedy and aggressive user behavior, i.e., users do not take every message and do not re-broadcast every message. Again, users cannot affect their reward, but are forced to use the equivalent of our pure altruistic strategy (see Section IV), which we found to exhibit very poor performance.

VII. CONCLUSION

In this paper, we have defined a general model for electronic coupon systems based on rewarding user participation with bonus points. We have derived an optimal strategy which indicates how many points a user should take for herself when passing the coupon onward. We have also compared our optimal strategy against a number of other possible strategies and have shown that our optimal strategy is extremely robust and provides a strong incentive for users to follow our optimal strategy.

In our future work, we plan on investigating the sensitivity of the rational strategy in a more complex setting, i.e., larger and non-complete passing trees with passing of coupon determined by user mobility and interest.

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